



Section #

PATENT  
450100-03436

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hironaga NAKATSUKA  
Serial No. : 09/942,896  
Filed : August 30, 2001  
For : MODEL ADAPTATION APPARATUS, MODEL ADAPTATION METHOD, STORAGE MEDIUM, AND PATTERN RECOGNITION APPARATUS  
Art Unit : 2621

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on November 27, 2001

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

Signature

November 27, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated October 4, 2001 on the above application, a Declaration duly signed by the inventor, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

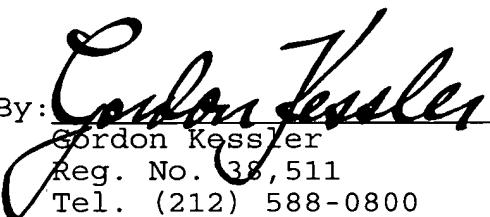
PATENT  
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Please charge any additional fees incurred or credit  
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the  
identified application is now complete. Early examination of the  
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Gordon Kessler  
Reg. No. 33,511  
Tel. (212) 588-0800

Enclosure



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,896	08/30/2001	Hironaga Nakatsuka	450100-03436

DEC 31 2001  
CIP E  
PATENT & TRADEMARK OFFICE  
PROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

**CONFIRMATION NO. 9838**  
**FORMALITIES LETTER**



\*OC000000006849751\*

Date Mailed: 10/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*KSAYSON*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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